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FORM PTO-1 (REV 11-98)		ER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER 306.38372X00 April 13, 2000
		CTED OFFICE (DO/EO/US)	
	CONCERNING A FI	LING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known see 37 CFR 15) 0 9 / 5 2 9 3 8 3
	ATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
	P98/06479	13 October 1998 (13.10.98)	13 October 1997 (13.10.97)
METHOD :	OF INVENTION FOR INCREASING THE WEAR RE	SISTANCE OF A WORK PIECE	
MEIER, (		SNER, Klaus; STINGL, Peter; RADKE, Helmut; T	
Applican		tates Designated/Elected Office (DO/EO/US) the foll	owing items and other information:
1. X		tems concerning a filing under 35 U.S.C. 371.	
2.		UENT submission of items concerning a filing under	
3. X	examination until the expiration	tional examination procedures (35 U.S.C. 371(f)) at a of the applicable time limit set in 35 U.S.C. 371(b) and Preliminary Examination was made by the 19th m	and PCT Articles 22 and 30(1)
5. X		pplication as filed (35 U.S.C. 371(c)(2))	
		ith (required only if not transmitted by the Inter	national Bureau).
ligated?		by the International Bureau.	,
	c. is not required, as the	e application was filed in the United States Rece	eiving Office (RO/US).
6 X	A translation of the Internation	nal Application into English (35 U.S.C. 371(c)(	(2)).
	Amendments to the claims of	the International Application under PCT Article	e 19 (35 U.S.C. 371(c)(3))
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W	c. have not been made:	however, the time limit for making such amend	lments has NOT expired.
18		and will not be made.	1
8	<del></del>	nts to the claims under PCT Article 19 (35 U.S.	C. 371(c)(3))
		inventor(s) (35 U.S.C. 371(c)(4)).	• .
	A translation of the annexes to (35 U.S.C. 371(c)(5)).	o the International Preliminary Examination Re	port under PCT Article 36
Items 1	1. to 16. below concern docur	nent(s) or information included:	
11.		atement under 37 CFR 1.97 and 1.98.	
12.	An assignment document for	recording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.
13. X	A FIRST preliminary amendm		
Ш	A SECOND or SUBSEQUEN	T preliminary amendment.	
14.	A substitute specification.		
15. X	A change of power of attorney	and/or address letter.	
16. X	Other items or information:	International Publication No. W099/192 PCT Request Form	71
		Figs. 1,2a-2b,3,4a-b,5a-b,6,7a-7b,8a-b,9	a-9b,10a-10b
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INTERNATIONAL APPLICATION N PCT/EP98/06479 CALCULATIONS 17. X The following fees are submitted: PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ...... \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ...... \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ...... \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT 840.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(c)). 0.00 CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims -20 = 14 X \$18.00 \$ 0.00 Independent claims 1 -3 = 0 X \$78.00 \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$260.00 0.00 \$ TOTAL OF ABOVE CALCULATIONS 840.00 \$ Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement \$ must also by filed (Note 37 CFR 1.9, 1.27, 1.28). 0.00\$ SUBTOTAL 840.00 Processing fee of \$130.00 for furnishing the English translation later than 30 \$ menths from the earliest claimed priority date (37 CFR 1.492(f)). 0.00 TOTAL NATIONAL FEE \$ = 840.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 0.00\$ TOTAL FEES ENCLOSED 840.00 Amount to be: refunded charged A check in the amount of \$\\\ 840.00 to cover the above fees is enclosed. Please charge my Deposit Account No. \_ m the amount of \$\_\_\_\_\_\_to cover the above fees. A duplicate copy of this sheet is enclosed. c x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2135 A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO Alan E. Schiavelli SIGNATURE Antonelli, Terry, Stout & Kraus, LLP Alan E. Schiavelli 1300 North Seventeenth Street Suite 1800 NAME Arlington, VA 22209 32,087 REGISTRATION NUMBER

422 Rec'd PCT/PTO

## 422 Rec'd PCT/PTO 1 3 APR 2000

306.38372X00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

MEIER et al

Serial No .:

Filed:

April 13, 2000

For:

Method For Increasing The Wear Resistance

Of A Work Piece

Group:

Examiner:

#### PRELIMINARY AMENDMENT

Assistant Commissioner

for Patents

April 13, 2000

Washington, D.C. 20231

Sir:

Prior to examination on the merits of this application and <u>prior to calculation</u>
of the filing fee, please amend the above-identified application as follows:

#### IN THE CLAIMS:

Claim 3, line 1, delete "or 2".

Claim 4, line 1, delete "or 2".

Claim 5, line 1, delete "one of claims 1 to 4" and insert --claim 1--.

Claim 7, line 1, delete "one of claims 1 to 5" and insert --claim 1--.

Claim 8, line 1, delete "one of claims 1 to 7" and insert --claim 1--.

Claim 11, line 1, delete "one of claims 8 to 10" and insert --claim 8--.

Claim 12, line 1, delete "one of claims 8 to 11" and insert --claim 8--.

Claim 13, line 1, delete "one of claims 8 to 12" and insert --claim 8--.

Claim 14, line 1, delete "one of claims 1 to 13" and insert --claim 1--.

#### **REMARKS**

The foregoing amendments are respectfully requested prior to examination on the merits of this application.

To the extent necessary, applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 306.38372X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

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# METHOD FOR INCREASING THE WEAR RESISTANCE OF A WORK PIECE

The invention relates to a method for increasing the wear resistance of a work piece in accordance with the preamble of claim 1.

In order to increase the wear resistance of a work piece it is known that the loaded surface of the work piece can be protected by means of a material that is of a greater hardness than the work-piece material. Materials that cannot be reshaped, such as hard metal or ceramic materials, called core materials in the following, are particularly suitable for this.

Connections between ceramic materials or hard metals and a metal or non-ferrous metal respectively as the work piece are produced at present by using the basic joining techniques, form-fitting, force-fitting and substance-fitting.

Moreover, connections which cannot be—undone are currently mainly realized by means of soldering, welding and shrinkage methods and various bending-reshaping methods, for example flanging or rotatory reshaping under compressive conditions.

It is largely the soldering methods (for example high-temperature or active soldering) and also the welding methods that come into consideration for connections that undergo maximum mechanical stresses.

The disadvantages of the soldering and welding methods are the high costs of production as well as, in most cases, the need to use additional and/or intermediate substances that are matched to the expansion behaviour or the need to carry out structural measures to compensate for the different coefficients of thermal expansion in order to reduce stresses.

The underlying object of the invention is to improve a method for increasing the wear resistance of

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a work piece in accordance with the preamble of claim 1 in such a way that an extremely durable connection of the core material to the work piece is achieved with simple means and in a less expensive manner. In so doing, the dimensions of the work piece are to be maintained.

In accordance with the invention this object is achieved by connecting the core material to the work piece in a form-fitting manner by means of cold-extrusion or hot-extrusion of the work-piece material.

The method in accordance with the invention is a reshaping method in which a plastic change in the shape of a solid body is effected by means of compression or compression-drawing. The properties of the material and the dimensions of the body are thereby maintained. Cold-extrusion is extrusion without an additional supply of heat to the components or tools before or during the reshaping. However, heat can/will develop as a result of the reshaping. In the case—of hot-extrusion, heat is supplied during the extrusion.

The new underlying idea of the method is to use the plastic change in the work-piece material, advantageously steel or non-ferrous metal, during the extrusion, and the non-reshapability of the ceramic sintered materials that have high grain-boundary stability, based on dense, high-melting metal oxides, metal carbides and metal nitrides or hard metals and hardened metals, in order to produce a connection which cannot be undone. The sintered materials, the hard metal or the hardened metal of the core materials are shaped in terms of extrusion techniques in such a way that the plastic deformation of the metal/non-ferrous metal is not hindered, but rather is promoted, and the sintered materials or the hard metal are not overloaded with regard to their material properties, specifically the stability properties. Outer and inner contours of

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the work pieces are then determined by the producibility of the tools.

The connection is clearly less expensive as a result of the use of this new technology (savings in terms of time and materials).

Oxide ceramic materials, such as, for example, aluminium oxide, zirconium oxide, magnesium oxide, mixtures of aluminium oxide and zirconium oxide, silicon nitride, such as, for example, sintered silicon nitride (SSN), hot-pressed (HPSN) or gas pressuresintered (GPSN) silicon nitride, silicon carbide, such as, for example, densely sintered silicon carbide (SSiC), silicon-infiltrated silicon carbide (SiSiC), dispersion ceramic materials, ceramic silicate materials and also mixtures of titanium carbide and aluminium oxide number among the ceramic sintered materials that are particularly suitable for the present invention. Numbering among these materials within the scope of the present invention are also those materials which contain, in small admixed quantities, magnesium oxide, calcium oxide and yttrium oxide and other sintering aids which are usually added, for example, as grain-growth inhibitors.

In the case of this invention all the hard metals which have mechanical strength values of  $\sigma_B>350~N/mm^2$  number among the hard metals which are particularly suitable.

All the metals of the material group 1.2379, for example, number among the hardenable metals which are particularly suitable.

In order to achieve security against torsion or a comparatively high degree of strength of the connection, suitable additional shaped elements such as, for example, rounded-off notches and/or areas or hollow spaces and/or undercuts are worked into the core materials or special surface qualities are produced.

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In a particularly advantageous embodiment, the additional shaped elements are constituted by a knurling that is provided on the outside.

Advantageously, moreover, the core material tapers towards the outside of the work piece. As a result, even better anchorage of the core material in the work piece is achieved.

In accordance with the invention advantageously an extrusion sleeve liner with a bore, in which a displaceable punch connects the work piece to the core material by means of cold-extrusion or hot-extrusion, is used as the pressing tool. In this connection, the core material is pressed into the work piece, or vice versa the work piece is pressed into the core material, until the work-piece material is free-flowing under the pressure and flows around the core material. Promoted by the cold-work hardening of the work-piece material that occurs during the reshaping, a permanent, extremely firm connection of the core material with the work piece develops.

Advantageously, a displaceable ejector is provided as an abutment for the work piece or the core material in the bore in the sleeve liner. This ejector is used, after the extrusion, to eject, for example press, the finished work piece out of the sleeve liner.

In a special advantageous embodiment a constriction can also be provided as an abutment for the work piece in the bore in the sleeve liner. It is possible to push the work piece out of the sleeve liner after the extrusion by means of the ejector further described above.

Depending on the required application, it is also advantageous to form the punch as a hollow punch. In this case, the pressure is only applied to an annular outer region of the hollow punch. It is also expedient in specific cases if the punch, at its end that faces

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the work piece or core material, has a clearance from the bore in the sleeve liner.

In a special embodiment a further displaceable punch, to which force can be applied, is arranged in the punch. By means of this further punch it is possible to control the reshaping of the work-piece material in a purposeful manner.

Advantageously, this method is used in the case of work pieces of valve systems, in particular valve drives of internal combustion engines. Numbering amongst these there is, for example, a tappet that is driven by the cam shaft or else the setting screw of the rocker arm.

Further features of the invention emerge from the figures which are described in the following and in which:

Figure 1 shows the valve drive of an internal combustion engine with advantageous examples of application of the invention;

Figures 2a, b

show a plan view (Figure 2a) of and a section (Figure 2b) through a core material, for example as an insert for a tappet;

Figure 3 shows a setting screw of a valve drive of an internal combustion engine;

Figures 4a, b

diagrammatically show forward cup
extrusion;

Figures 5a, b

diagrammatically show backward cup extrusion;

Figures 6a, b

diagrammatically show forward tube extrusion;

Figures 7a, b

diagrammatically show backward tube extrusion;

Figures 8a, b

diagrammatically show forward solid extrusion or reduction;

Figures 9a, b

diagrammatically show backward solid extrusion; and

Figures 10a, b

diagrammatically show lateral extrusion or compression.

The valve drive of an internal combustion engine is diagrammatically shown in Figure 1. It substantially consists of a cam shaft 11, a tappet 12, a push rod 13, a rocker arm 14 with a rocker-arm axle 15, a setting screw 16, a valve 17 with a spring plate 18, a valve guide 19 and a valve spring 20. These parts are to some extent very susceptible to wear. Ιt is known that the wear-resistance at the working surface of the cam shaft 11 can be increased by providing on the tappet 12, for example by soldering, welding, shrinkage or the like, a core material 2 which has a greater hardness than the material of the tappet Hard metals, hardened metals or ceramic materials are used, for example as the material of the core material.

According to the method in accordance with the invention a core material 2 that cannot be reshaped is connected to the work piece, here, for example, the tappet 12, in a form-fitting manner by means of cold-extrusion or hot-extrusion.

A plan view (Figure 2a) of and a section through (Figure 2b) a core material 2, for example as an insert in a tappet, are shown in Figures 2a, 2b. The core material 2 here is formed as a disc and has a knurling

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3 at its circumferential edge for the purpose of securing against torsion. The exterior 21 of the core material 2 tapers towards the outside of the work piece. The core material 2 in this case consists of a sintered ceramic material, that is, of silicon nitride  $Si_3N_4$ .

Figure 3 shows, as a further example, a setting screw 16 of a valve drive of an internal combustion engine (cf. also Figure 1). A work piece 1 is secured by means of extrusion to the end of the setting screw 16 that faces the valve, with this work piece 1 being connected to a ceramic material 23 in a form-fitting manner by means of extrusion.

A work piece for carrying out the method in accordance with the invention is diagrammatically shown in each of the following Figures 4 to 10. Figures 4a, 5a, 6a, 7a, 8a, 9a, 10a each show the work piece in the tool <u>before</u> the connection has been established and Figures 4b, 5b, 6b, 7b, 8b, 9b, 10b show it after the connection has been established.

Figures 4a, b diagrammatically show forward cup extrusion. In this case, a bore 5, in which a punch 6 and an ejector 7 are arranged in a displaceable manner, is introduced into a sleeve liner 4. The ejector 7 is used as an abutment for the punch 6 during the pressing process and is used to press out the work piece 1 after the connection has been established. The work piece 1 and the core material 2 are located between the ejector 7 and the punch 6. The core material 2 is a sintered ceramic material and the work piece 1 is steel or nonferrous metal. The core material 2 rests upon the ejector 7 and has an elevation 23 facing the work piece During the pressing process the punch 6 presses the work piece 1 onto the core material 2 in such a way that the material of the work piece 1 begins to flow and flows around the raised part 23 of the core

material 2. The result, namely the form-fitting connection, is shown in Figure 4b. After the process of cold-extrusion, the punch 6 is moved back and the work piece 1 is pressed out by means of the ejector 7. Hot-extrusion is effected in a similar manner, only here heat is also supplied, in addition.

Figures 5a, b diagrammatically show backward cup extrusion. This is very similar to the forward cup extrusion in accordance with Figures 4a, b, only here the core material 2 is pressed into the work piece 1.

Figures 6a, b diagrammatically show forward tube extrusion. As a special feature here the bore 5 has a constriction 8 in the sleeve liner 4. This constriction 8 is used as an abutment for the work piece 1 during the cold-extrusion. The work piece 1 additionally has a recess 24 and the core material 2 has a peg 25 adapted thereto, with the peg 25 being inserted into the recess 24 before the connection is established. During the connection, the work piece is pressed beyond the constriction 8 in the direction of the ejector 7. The ejector 7 is pushed back and after the connection is merely used to press out the work piece 1. After the connection has been established, a hollow space 26 will have developed in the recess 24 that was present before the connection.

Figures 7a, b diagrammatically show backward tube extrusion. The core material 2 rests upon the ejector 7 and in turn has a peg 25 that faces the material and which is inserted into a recess 24 of the work piece 1. However, as a special feature here the punch 6 is formed as a hollow punch. Only the outer region of the work piece 1 is therefore subjected to cold-extrusion. After the connection has been established, as already shown in Figure 6b, a hollow space 26 is created in the work piece 1.

Figures 8a, b show forward solid extrusion or

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reduction. Here again there is in the bore 5 a constriction 8 that is formed as an incline on which the work piece 1 sits. After the connection has been established, the ejector 7 is only used to press out the work piece 1. Provided in the work piece 1 there is a recess 24 into which the core material 2 is inserted. The punch 6 in this embodiment has a clearance 9 from the bore 5 in the sleeve liner 4. The diameter of the punch 6 which rests upon the core material 2 corresponds exactly to the diameter of the core material 2. During the cold-extrusion, the diameter of the work piece 1 is reduced as a result of the constriction 8, whereby a firm connection is achieved.

Figures 9a, b show backward solid extrusion. Here the work piece 1, which before the connection has been established is in the form of a disc, is arranged on the ejector 7. The core material 2 is set annularly upon the work piece 1 at the outer region thereof. During the cold-extrusion, the core material 2 is pressed down by the punch 6, whereby the work-piece material flows into the hollow space 10.

Figures 10a, b show lateral extrusion or compression. Here the work piece 1 is in the form of a T-shape in cross section before the cold-extrusion and the core material 2 is set thereon annularly. During the cold-extrusion, the work-piece material flows around the core material 2 so that the core material is surrounded on three sides by the work piece 1. Here accordingly the peg which develops as a result of the backward extrusion is reshaped as a result of a subsequent compression or lateral-extrusion operation so that comparatively firm seating of the connection in the axial direction results.

Combinations of the individual methods are possible in succession or in one single operation. For

example, all the time here there has been talk of the advantageous cold-extrusion method, although the hot-extrusion method can also be used in an advantageous manner.

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#### Claims

- 1. Method for increasing the wear-resistance of a work piece, with the work piece (1) being connected to a core material (2) that cannot be reshaped and which is of a greater hardness than the work-piece material, characterised in that the core material (2) is connected to the work piece (1) in a form-fitting manner by means of cold-extrusion or hot-extrusion of the work-piece material.
- 2. Method according to claim 1, characterised in that the work-piece material is steel or non-ferrous metal.
- 3. Method according to claim 1 or 2, characterised in that the core material (2) is a hard metal or a hardened metal.
- 4. Method according to claim 1 or 2, characterised in that the core material (2) is a ceramic sintered material.
- 5. Method according to one of claims 1 to 4, characterised in that the core material (2) has additional shaped elements such as, for example, rounded-off notches and/or areas or hollow spaces and/or undercuts.
- 6. Method according to claim 5, characterised in that the additional shaped elements are constituted by a knurling (3) that is provided on the outside.
  - 7. Method according to one of claims 1 to 5, characterised in that the core material (2) tapers towards the outside of the work piece.
  - 8. Method according to one of claims 1 to 7, characterised in that a bore (5) in which a displaceable punch (6) connects the work piece (1) to the core material (2) is arranged in an extrusion sleeve liner (4).
    - 9. Method according to claim 8, characterised in

that a displaceable ejector (7) is provided as an abutment for the work piece (1) or the core material (2) in the bore.

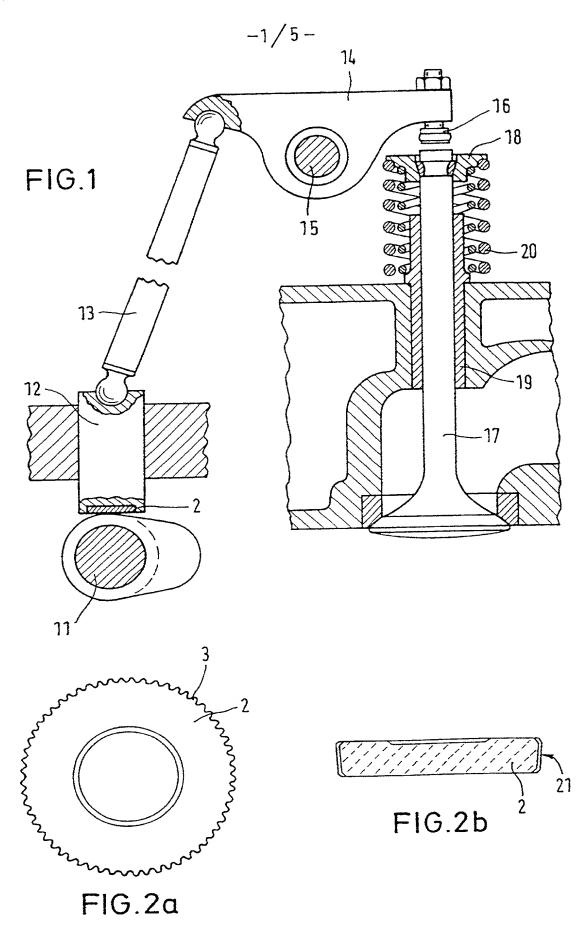
- 10. Method according to claim 8, characterised in that a constriction (8) is provided in the bore (5) as an abutment for the work piece (1) or the core material (2).
- 11. Method according to one of claims 8 to 10, characterised in that the punch (6) is a hollow punch.
- 12. Method according to one of claims 8 to 11, characterised in that the punch (6), at its end that faces the work piece (1) or core material (2), has a clearance (9) from the bore (5) in the sleeve liner (4).
- 13. Method according to one of claims 8 to 12, characterised in that a further displaceable punch, to which force can be applied, is arranged in the punch (6).
- 14. Method according to one of claims 1 to 13, characterised in that this method is used or work pieces of valve systems, in particular valve drives of internal combustion engines.

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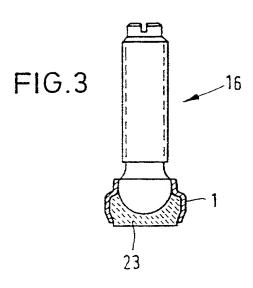
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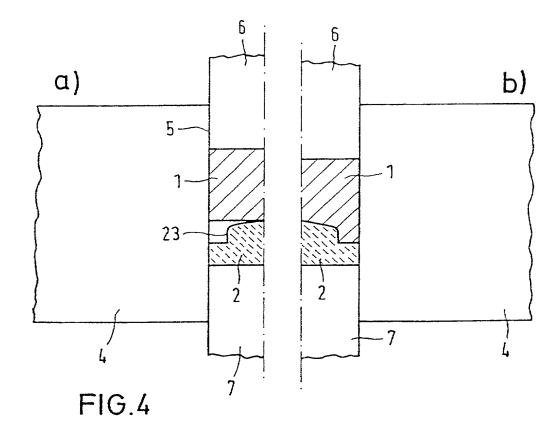
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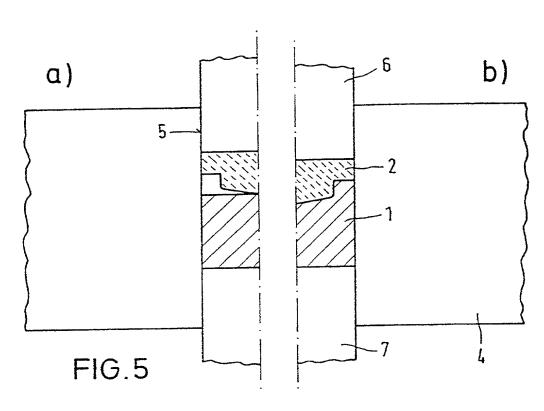


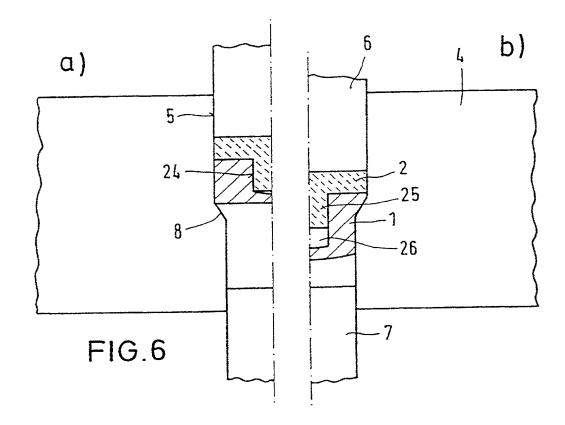


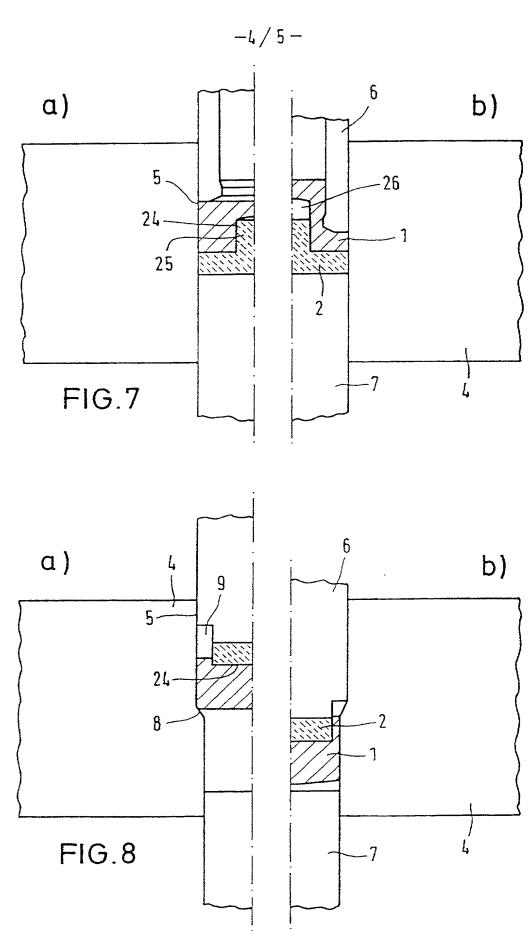


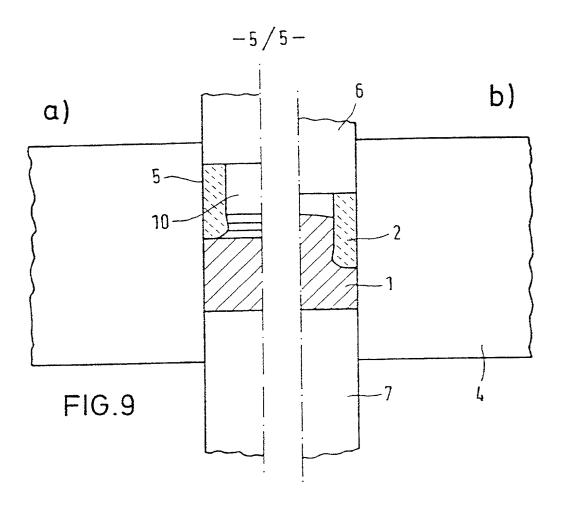


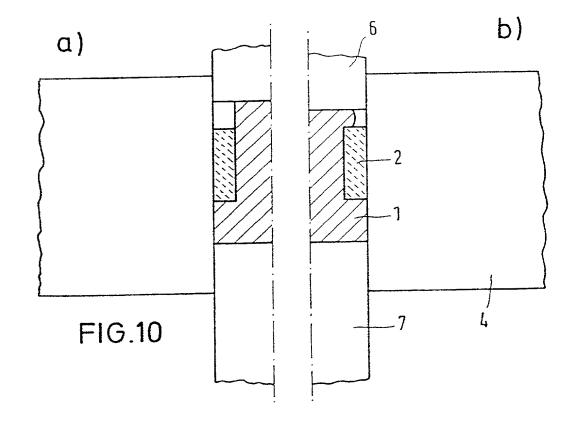












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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to

### **CHANGE OF CORRESPONDENCE ADDRESS Application**

Address to: Assistant Commissioner for Patents Washington, D.C. 20231

	en de la commentación de la comm
Application Number	
Filing Date	April 13, 2000
First Named Inventor	Gerd MEIER
Group Art Unit	
Examiner Name	
Attorney Docket Number	306.38372X00

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Signature _								
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306.38372x00

· DECLARATION AND POWER OF ATTORNEY FILED WITH U.S. DESIGNATED OFFICE UNDER 35 U.S.C. 371(c)(4)

As a below named inventor, I/we hereby declare that:

	/Our resid														
I/we are the															
plural name	s are liste	d below)	of the s	subject 1	matter	which is	claime	d and	for wh	ich a	patent	is sought	on tl	inver	ntion
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	reasing The Wear R	,	
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ne specification of whick was filed as l led October 13, 1998	(US Serial No. 09	/529,383 - April 1	
led October 13, 1998	and was amende	ed on(if app	olicable)
I/We hereby state that I/we have claims, as amended by any amendment	ve reviewed and understand the tent referred to above.	contents of the above-identified	d specification, including
	lisclose information which is ma	terial to the examination of this	application in accordan
I/We hereby claim benefit und pplication(s) for patent or inventor's atent or inventor's certificate having a	ler Title 35, United States Code certificate listed below and la filing date before that of the fo	have also identified below any	y foreign application i
rovisional and/or Foreign Application			Priority Claimed
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(Number)	(Country)	(Day/Month/Year Filed)	Yes No
198 06 888.3	Germany	19/02/98	
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
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(Number)	(Country)	(Day/Month/Year Filed)	Yes No
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oclow and, insofar as the subject m States application in the manner provide duty to disclose material informoctween the filing date of the prior	vided by the first paragraph of ation as defined in Title 37, C	this application is not disclory the 35, United States Code, to code of Federal Regulations, the state of the state of Federal Regulations, the state of Federal Regulations of the state o	sed in the prior Unit §112, I/we acknowled §1.56(a) which occurr
(Application Serial No.)	◆ (Filing Date)	(Status: patented, per	iding, abandoned)
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(Application Serial No.)	(Filing Date)	(Status: patented, per	iung, abandoneu)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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